

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

1632

MAURICE ARTHUR TREWHELLA ET AL.

Examiner:

Unknown

Serial No.:

10/814,891

Filed:

March 31, 2004

For:

NEW CONDITIONS FOR REACTIONS MEDIATED BY

YEAST

Attorney Docket No.: GRH 0105 PUSA

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 19, 2004

Date of Deposit

Michael S. Brodbine
Name of Person Signing

Signature

Atty Dkt No. GRH 0105 PUSA

S/N: 10/814,891

If the filing date of this application is on or before June 30, 2003, a copy of each reference listed on the attached Forms PTO/SB08A and/or SB08B is included herewith. If this application was filed after June 30, 2003, copies of any cited U.S. patent/application references have not been included. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

MAURICE ARTHUR TREWHELLA ET AL.

Michael S. Brodbine Reg. No. 38,392

Attorney for Applicant

Date: July 19, 2004

BROOKS KUSHMAN P.C.

1000 Town Center, 22nd Floor Southfield, MI 48075-1238

Phone: 248-358-4400

Fax:

248-358-3351

JUL 2 2 2004 2

Substitute for Form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/814,891

Filing Date March 31, 2004

First Named Inventor Maurice Arthur Trewhella et al

Group Art Unit 1632

Examiner Name Unknown

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number GRH 0105 PUSA

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
		Y. GAO and K. B. SHARPLESS - "Asymmetric Synthesis of Both Enantionmers of Tomoxentine and Fluoxentine. Selective Reduction of 2,3-Epoxycinnamyl Alcohol with Red-A1", J. Org. Chem. 1988, 53, 4081-4084. © 1988 American Chemical Society .			
		NICK ATHANASIOU, ANDREW J. SMALLRIDGE and MAURIE A. TREWHELLA - "Solventless Yeast Mediated Reactions", School of Molecular Sciences, Victoria University of Technology, Melbourne City, Victoria, Australia, Pages 1-4.			

Examiner	Date	
Signature	Considered	



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.